

INVESTMENT AUTHORIZATION SUPPORTING DOCUMENTATION REFERENCE LIST

REAL ESTATE^{2/4}

- Real Estate Investment Authorization Form
- Escrow Instructions
- Preliminary Report
- Purchase Contract/Agreement
(Must be signed by iPlanGroup & should be listed for signing on our Investment Form)
- Estimated Settlement Agreement
(If applicable)
- Non-Recourse Note (If debt financing)
- Warranty Deed

PROMISSORY NOTES^{1/2/4}

iPlanGroup requests that the Promissory Note be signed by the borrower prior to funding

- Promissory Note Investment Authorization Form
- Note Servicer Certification Form, and if:

UNSECURED

- Promissory Note

SECURED BY REAL ESTATE(Mortgage/Deed of Trust)

- Promissory Note
- Mortgage/Deed of Trust

SECURED BY OTHER COLLATERAL

- Promissory Note (With listed collateral)
- Assignment of Promissory Note and Security Agreement
- Security Agreement and/or UCC Filing
- UCC Financing Statement Amendments

ADDITIONAL REQUIREMENTS IF LENDING TO AN ENTITY (LLC, LP, ect.)¹

- Articles of Organization/Incorporation
- Operating Agreement/Limited Partnership/Bylaws
- Private Placement Memorandum
- Letter of Good Standing

EXISTING PROMISSORY NOTES^{1/2/4}

- Promissory Note Investment Authorization Form
- Note Servicer Certification Form, and if:

UNSECURED

- Promissory Note
- Assignment of Promissory Note

SECURED BY REAL ESTATE(Mortgage/Deed of Trust)

- Promissory Note
- Mortgage/Deed of Trust

SECURED BY OTHER COLLATERAL

- Promissory Note (With listed collateral)
- Assignment of Promissory Note and Security Agreement
- Security Agreement and/or UCC Filing
- UCC Financing Statement Amendments

TAX LIENS^{2/4}

- Tax Lien Direction of Investment Form
- Auction Letter or Web Link

EXISTING TAX LIENS^{2/4}

- Copy of Tax Lien
- Assignment of Tax Lien
- Tax Lien Ownership Must Be Changed With the Associated County

PRECIOUS METALS^{2/4}

- Precious Metals Investment Authorization Form
- Dealer Invoice

ENTITIES^{2/3/4}

- Entity Investment Authorization Form
- Entity Investment Issuer Certification Form, and if:

LIMITED LIABILITY COMPANY

- Private Placement Memorandum/Operating Agreement
- Subscription Agreement
- Articles of Organization
- Investor Questionnaire
- Letter of Good Standing

LIMITED PARTNERSHIP

- Private Placement Memorandum
- Filed Certificate of Limited Partnership
- Limited Partnership Agreement/Subscription Agreement
- Letter of Good Standing

C-CORPORATION

- Private Placement Memorandum/Operating Agreement
- Subscription Agreement
- Articles of Incorporation/Charter
- Bylaws
- Letter of Good Standing

JOINT VENTURE^{2/4}

- Joint Venture Agreement

LAND TRUST^{2/4}

- Land Trust Agreement

GENERAL INVESTMENTS/OTHER^{2/4}

- General Direction of Investment Form, and if:

FOREIGN CURRENCY/FUTURES^{2/4}

- Third-Party Account Application
- Limited Power of Attorney/Trading Authorization

MOBILE HOME^{2/4}

- Mobile Home Purchase Agreement

LEASE AGREEMENT^{2/4}

- Lease Agreement

OPTIONS^{2/4}

- Option Agreement (Must list Expiration Date)

STRUCTURED SETTLEMENT^{2/4}

- Structured Settlement/Judgment
- Assignment of Payments

LAND CONTRACT^{2/4}

- Land Contract Agreement

ANNUITY^{2/4}

- Annuity Application

OIL & GAS VENTURE

(Not structured as a Joint Venture)^{2/4}

- Oil & Gas Agreement

Please Note: 1. If initiating a Note secured by private entity ownership, please follow the applicable required entity documents in addition to the applicable promissory note documents. 2. iPlanGroup will accept copies of our internal investment forms along with copies of the unexecuted supporting documents for processing purposes with the exception of entity investments. 3. All entity investments will need to be submitted with a copy of the executed subscription agreement and the completed investor questionnaire (if applicable to the investment structure) by the account holder. 4. Furthermore, iPlanGroup requests that we retain the finalized investment documents such as but not limited to: the original promissory note, mortgage/deed of trust, property deed, debenture, bond, stock certificate etc. If the original document is unavailable, we request a certified copy. If the asset is stock, and certificates are not issued, then we will accept a written purchase confirmation from the investment company. iPlanGroup requests that these documents be sent to us within 30 days from completion of the investment.